

## **BLACKBAY CAPITAL ADVISORS NOTICE OF PRIVACY POLICY**

Maintaining your privacy is important to us. Your personal information is kept secure. You have a right to know what information is being collected about you and how that information will be used.

We collect nonpublic personal information about you from the following sources: Information we receive from you on applications or other forms; and Information about your transactions with us.

We do not disclose any nonpublic personal information about our customers or former customers to anyone, except as permitted or required by law, or as directed by you – our customer. Under the law, the information we collect is provided to companies that perform support services on our behalf as necessary to effect, administer, or process a transaction, or for maintaining and servicing your account.

We do not give or sell information about you or your accounts to any other company, individual or group.

We restrict access to nonpublic personal information about you to those employees who need to know that information to provide products or services to you. We maintain physical, administrative, and technical procedural safeguards to protect your nonpublic personal information.

We may disclose nonpublic personal information to third-party service providers who perform services on our behalf, as necessary to effect transactions or maintain your account.

Clients have the right to opt out of certain disclosures of their nonpublic personal information to non-affiliated third parties.

If there are any material changes to the privacy policy, the clients will likewise receive a copy.

You do not need to call or do anything as a result of this notice. It is meant to inform you of how we safeguard your nonpublic personal information.

### **FORM ADV OFFER**

We are required to annual offer a copy of our Form ADV Part II to our current clients. Please feel free to email ([tbutterfield@theblackbaygroup.com](mailto:tbutterfield@theblackbaygroup.com)), or call (217-656-4630) (800-992-4630) us for a copy, and we will respond within seven (7) days with our current form.